

OCT 18 2001

Attorney's Docket No. 74451.P127D4

PATENT

SEC 8 OF
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Edward L. Schwartz

Application No.: 09/801,360

Filed: March 6, 2001

For: METHOD AND APPARATUS FOR EDITING
AN IMAGE WHILE MAINTAINING
CODESTREAM SIZE

Examiner: Unknown

Art Unit: 2185

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

In response to the Notice of Incomplete Reply dated October 2, 2001, regarding the Notice to File Missing parts of Application (Filing Date Granted) mailed April 13, 2001, please find enclosed:

(1) a copy of the Response to Notice to File Missing parts, and accompanying documents, filed with the U.S. Patent and Trademark Office on August 13, 2001; and

(2) a copy of the Notice of Incomplete Reply.

The previously filed oath or declaration is signed, as evidenced by our responsive papers filed on August 13, 2001. A copy of said papers is submitted herein again.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



Dated: October 15, 2001

Michael J. Mallie, Reg. No. 36,591

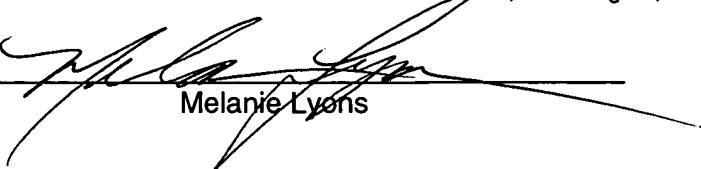
12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on

Date: 10-15-01

Name: Melanie Lyons


Melanie Lyons

#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,360	03/06/2001	Edward L. Schwartz	74451.P127D4

CONFIRMATION NO. 4279
FORMALITIES LETTER



OC00000006832299

Michael J. Mallie
 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
 Seventh Floor
 12400 Wilshire Boulevard
 Los Angeles, CA 90025-1026

Date Mailed: 10/02/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 08/16/2001 to the Notice to File Missing Parts (Notice) mailed 04/13/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.

*A copy of this notice **MUST** be returned with the reply.*

jbh
 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney's Docket No.: 74451.P127D4

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Schwartz, et al.)
Application No.: 09/801,360)
Filed: March 6, 2001)
For: METHOD AND APPARATUS FOR EDITING)
AN IMAGE WHILE MAINTAINING)
CODESTREAM SIZE)

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed April 13, 2001, please find enclosed:

- (1) a copy of the duly executed Declaration and Power of Attorney with respect to the parent application of the above-referenced patent application;
- (2) twenty six (26) sheets of substitute drawings in compliance with 37 C.F.R. 1.84;
- (3) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (4) a copy of the Notice to File Missing Parts of Application;

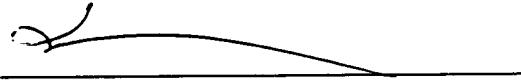
(5) a Petition for a two (2) month extension of time under 37 C.F.R. § 1.136(a); and

(6) a check in the amount of \$390.00 in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP



Michael J. Mallie
Reg. No. 36,591

Dated: August 13, 2001

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8598



OCT 18 2001
RECEIVED
APR 26 2001
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
UNITED STATES PATENT AND TRADEMARK OFFICE
LOS ANGELES

Page 1 of 2

NJN
Ricoh Corp.

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,360	03/06/2001	Edward L. Schwartz	74451.P127D4

CONFIRMATION NO. 4279

FORMALITIES LETTER

Michael J. Mallie
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

RECEIVED
APR 16 2001

USPTO 0000005966758

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES
Previously Docketed

Date Mailed: 04/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

1173

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



RECEIVED
AUG 22 2001

HJ

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Serial/Patent No.: 09/801,360 Filing/Issue Date: 03/06/01
Client: Ricoh

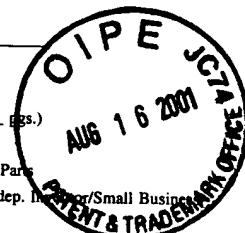
Title: METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE
MAINTAINING CODESTREAM SIZE

BSTZ File No.: 74451.P127D4 Atty/Secty Initials: MJM/mzb
Date Mailed: 08/13/01 Docket Due Date: 06/13/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No.: _____	XX Check No. <u>44094</u>
<input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)	<input checked="" type="checkbox"/> <u>two</u> Month(s) Extension of Time	Amt: <u>\$130.00</u>
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO-146 (____ pgs.)	XX Check No. <u>44057</u>
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	Amt: <u>\$390.00</u>
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input checked="" type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input checked="" type="checkbox"/> Certificate of Mailing	XX Response to Notice of Missing Part	
<input checked="" type="checkbox"/> Declaration & POA (____ pgs.) parent copy	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor Signed Later (____ pgs.)	<input type="checkbox"/> Transmittal Letter, in duplicate	
<input checked="" type="checkbox"/> Drawings: <u>26</u> # of sheets includes <u>37</u> figures	XX Fee Transmittal, in duplicate	

Other: _____





BLANKET, GOKOLICK, TAYLOR & LAFMAN, LLP
PARTNERSHIP INCLUDING LAW CORPORATIONS
170 CLARKMEAD PARKWAY
SUNNYVALE, CA 94086
408/730-5598

AMERICAN EXPRESS, CALIFORNIA
MAILING ADDRESS

44657

44657

August 13, 2001

THREE HUNDRED NINETY & NO/100

390.00

Director of the United States
Patent & Trademark Office
Washington, DC 20231-0001

MP

044657 1211375220 1890 67366 6

DO NOT CASH THIS CHECK UNLESS YOU CAN SEE THE WORDS "CHECK PENDING" ON THE BACK

DETACH AND RETAIN THIS STATEMENT
THE ATTACHED CHECK IS A PAYMENT IN ADVANCE FOR THE FEE LISTED ON THE
FEE SCHEDULE ATTACHED TO THIS STATEMENT.

Invoice: 08/13/2001 Amount: 390.00
114511712704 - Ricoh Corporation
MJM - mzb
Extension of Time fee for patent
for "METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE MAINTAINING CODESTREAM SIZE"
USSN: 09/801,360
Filed: 03/06/2001
Inv. Schwartz et al.

44657



RE: 74451.P12704 - RICOH CORPORATION
METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE MAINTAINING
CODESTREAM SIZE
SOLICK, ET AL.
USPTO
1000
1000

DEPT. OF COMMERCE
U.S. PATENT AND TRADEMARK OFFICE
44094

44094

July 12, 2001

ONE HUNDRED THIRTY & NO/100

130.00

Director of the United States
Patent & Trademark Office
Washington, DC 20231-0001

A handwritten signature in black ink, appearing to read "RICOH".

044094 01211375220 1890 67366 6

Invoice: 07/12/2001 Amount: 130.00
74451.P12704 - Ricoh Corporation
MJM - mzb
Missing Parts filing fee
for "METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE MAINTAINING CODESTREAM SIZE"
USSN: 09/801,360
Filed: 03/06/2001
Inv. Solick et al.
Schwartz

44094



PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 520.00

Complete if Known:

Application No. 09/801,360

Filing Date March 6, 2001

First Named Inventor Schwartz, et al.

Group Art Unit 2185

Examiner Name Not yet assigned

Attorney Docket No. 74451.P127D4

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: Check
 Credit Card
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
101	710	201	Utility application filing fee
106	320	206	Design application filing fee
107	490	207	Plant filing fee
108	710	208	Reissue filing fee
114	150	214	Provisional application filing fee

SUBTOTAL (1) \$ 0

2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	- 20** =	X	=
Independent Claims	- 3** =	X	=
Multiple Dependent			=

**Or number previously paid, if greater; For Reissues, see below.

Large Entity

Small Entity

<u>Fee Description</u>			
Fee Code	Fee (\$)	Fee Code (\$)	
103	18	203	Claims in excess of 20
102	80	202	Independent claims in excess of 3
104	270	204	Multiple dependent claim, if not paid
109	80	209	**Reissue independent claims over original patent
110	18	210	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee
			or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for ex parte reexamination
			Requesting publication of SIR prior to
			Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after
			Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
			Extension for reply within first month
			Extension for reply within second month
			Extension for reply within third month
			Extension for reply within fourth month
			Extension for reply within fifth month
119	310	219	155
120	310	220	155
121	270	221	135
			Notice of Appeal
			Filing a brief in support of an appeal
			Request for oral hearing
138	1,510	138	1,510
			Petition to institute a public use proceeding
140	110	240	55
141	1,240	241	620
142	1,240	242	620
			Petition to revive – unavoidable
			Petition to revive - unintentional
143	440	243	220
			Utility issue fee (or reissue)
144	600	244	300
			Design issue fee
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
			Petitions to the Commissioner
			Processing fee under 37 CFR 1.17(q)
			Submission of Information Disclosure Stmt
			Recording each patent assignment per
			property (times number of properties)
146	710	246	355
			For filing a submission after final rejection
			(see 37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined
			(see 37 CFR 1.129(b))
179	710	279	355
169	900	169	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design
			application
195	300	195	300
			Publication fee for early, voluntary, or normal
			publication
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240
			Publication fee for republication
			Request for voluntary publication or republication
			Processing fee under 37 CFR 1.17(i)
			Acceptance of unintentionally delayed claim for priority

Other fee (specify) _____

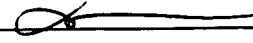
Other fee (specify) _____

SUBTOTAL (3) \$ 520.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael J. Mallie

Signature:  Date: August 13, 2001

Reg. Number: 36,591 Telephone Number: 408-720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on 8/13/01

Date of Deposit

Maria E. Brown

Name of Person Mailing Correspondence

Maria E. Brown

8/13/01

Signature

Date

Serial/Patent No.: 09/801,360 Filing/Issue Date: 03/06/01
Client: Ricoh

Title: METHOD AND APPARATUS FOR EDITING AN IMAGE WHILE MAINTAINING CODESTREAM SIZE

BSTZ File No.: 74451.P127D4 Atty/Secty Initials: MJM/mzb

Date Mailed: 08/13/01 Docket Due Date: 06/13/01

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<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Information Disclosure Statement & PTO-149 (____ pgs.)	XX Check No. <u>44657</u>
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Issue Fee Transmittal	Amt: <u>\$390.00</u>
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input checked="" type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for _____	
<input type="checkbox"/> Application - Design (____ pgs.)	<input checked="" type="checkbox"/> Postcard	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input type="checkbox"/> Power of Attorney (____ pgs.)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
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<input checked="" type="checkbox"/> Declaration & POA (____ pgs.) parent copy	<input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business	
<input type="checkbox"/> Disclosure Docs & Org & Copy of Inventor Signed Letter (____ pgs.)	<input type="checkbox"/> Transmittal Letter, in duplicate	
<input checked="" type="checkbox"/> Drawings: <u>26</u> # of sheets includes <u>37</u> figures	XX Fee Transmittal, in duplicate	
<input type="checkbox"/> Other: _____		